

TOLL SYSTEM AUDIT

Washington Transportation Commission,
Presentation on March 17, 2015



TAPInternational

Who **We** Are

Help to Advance how
Government
Operates

Extensive financial
analysis/management
experience



TAPInternational

Considerable
Transportation

Considerable IT Auditing
Experience

Our goal is to provide **Evidence-Based Answers** to the following questions:

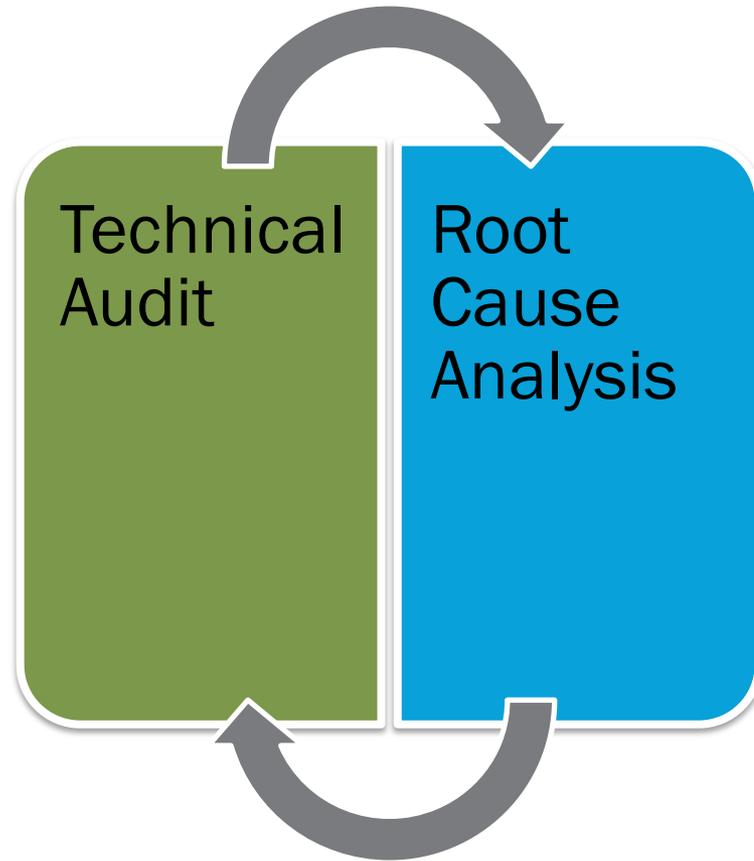
1

Is WSDOT's toll collection system accurately collecting, processing, and reporting toll transactions? If not, why?

2

Is WSDOT's toll collection system in compliance with Washington's IT security standards and payment card industry security standards? And if not, why?

Our Project Approach



A special focus will be on identifying **Current Issues** *and their* **Driving Factor (s)**

All of the **Areas to Evaluate** include:

- **Adherence to business requirements; reconciliation; collections; and financial reporting of transaction processing, including how transaction reporting effect revenue forecasts.**



**On-site verification of transaction processing;
Analytics on transaction data**

- **Compliance with OCIO requirements.**
- **Sufficiency of controls over payment processing and protecting payment information.**



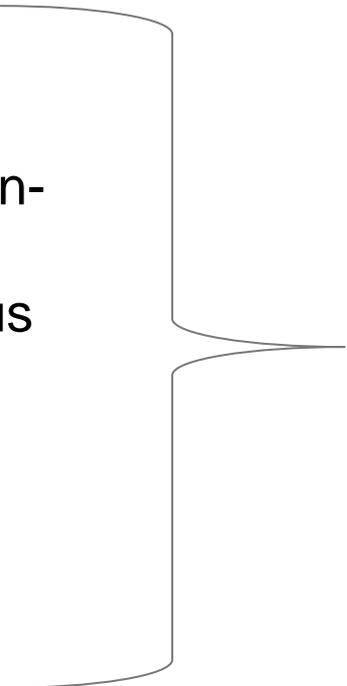
**Evaluation of selected IT security activities
System review at ETC headquarters**

- **Interfaces**
- **Network, access, software application security, security monitoring an logging**

What area needs attention to **drive**
positive change on future projects?



Contract Management,
Project Management,
Communication, Decision-
making, Performance
Management, Continuous
Process Improvement,
Oversight, Risk
Management, and
Financial Management.



ROOT
CAUSE

Timeline

Project
Initiation
December
2014

Root
Cause
Analysis
May 2015

Final
Report,
December
2015

Technical Review
March/April 2015

Draft
Report,
October/
November
2015

Questions